



SELF-DIRECTED BROKERAGE ACCOUNT MANAGEMENT

Offering unique investment management strategies

Self-Directed Brokerage Account (SDBA) management is ideal for participants of 401(k), 403(b), or 457 accounts. The relationships listed below give advisors access to nearly every retirement platform in the country. We accept fiduciary responsibility and provide a legal safe harbor as defined by the applicable sections of the ERISA federal retirement law and the Internal Revenue Service Code.

We offer a combination of three unique investment management strategies (see back). All options use diversified asset allocation strategies to control risk without inhibiting investment flexibility. Your financial professional, along with our portfolio management team, determines which of the various options should be offered based on the goals, objectives, risk tolerance, needs, and time frame of the participants.

Our retirement account management is ideal for a wide range of clients. Designed for both a participant who is just beginning to save for retirement as well as a participant with an established account who is preparing to retire.

- **BOK Financial** – Self-Directed Brokerage Account
- **Empower** – Empower Brokerage Services
- **Fidelity** – BrokerageLink®
- **Principal** – Self-Directed Brokerage Account
- **Prudential Brokerage** – Self-Directed Brokerage Account
- **Schwab** – Personal Choice Retirement Account (PCRA)
- **TD Ameritrade** – Self-Directed Brokerage Account
- **TIAA** – Brokerage Services

Primary Benefits

- ✓ Acceptance of shared fiduciary responsibility
- ✓ Active Management
- ✓ Relationships with largest retirement plan providers
- ✓ Risk management optimization



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Our Diversification Evolution

In 1984, TPGF began as an industry innovator offering actively managed portfolios in various levels of risk using Rational Analysis, a leading-edge strategy at the time. Over the next two decades and now battle-tested through good and bad markets, the industry took notice. With a hand in influencing the financial services industry acceptance & movement to active management, TPGF continued to innovate and was an early adopter to offering a broad suite of risk-controlled portfolios available through traditional and non-traditional platforms like Self-Directed Brokerage Accounts (SDBA).

As markets continue to evolve and old axioms were tested, TPGF embraced new technologies as they became available to evolve its portfolio construction to include both traditional and non-traditional assets classes through the use of mutual funds and ETFs. As a result, gradually over 15 years, our dynamic investment options provided financial advisors and their clients a number of distinct solutions to serve investors with a wide variety of different goals and objectives.

Creating a unique opportunity in 2017 to partner with industry icons such as JPMorgan, PIMCO, MFS, Meeder, and Bank of New York, we continued relentless pursuit in improving investor outcomes by creating new models that featured their extensive research capabilities and investment styles. This expansion of investment management services resulted in a multi-manager, multi-style, multi-discipline approach to facilitate the changing demands and needs of investors.

With the mindset of a pacesetter and an established culture to innovate, we expanded our multi-manager capabilities by introducing **Strategy PLUS™**. Tapping into the intellectual capital and offering investment styles from Capital Group® | American Funds®, BlackRock Inc.®, and Fidelity®, Strategy PLUS™ now offers a robust investment program that helps investors develop & manage the wealth they will need to retire on time with enough money in all market cycles.

Allocations

Strategic: An institutional Modern Portfolio Theory process focusing on correlations between designated asset classes with models based on historical risk levels with scheduled rebalancing.

Tactical: Active portfolio allocations using indicators such as momentum, trend following, and valuations with focus on shorter-term opportunities. Ongoing re-allocations versus scheduled rebalancing.

Underlying Investments

Active: Selection of individual issues (i.e., equities/fixed income, ETFs) to create a portfolio that will reflect manager analysis and seeks alpha.

Passive: Based on an underlying index, focusing on reduced tracking error and returns consistent with the chosen benchmark. Often lower costs than active management. Focus on beta.



Strategists

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